



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>A61K 39/00, 39/395, C07K 16/00, 16/18</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 95/25540</b> <b>(43) International Publication Date:</b> 28 September 1995 (28.09.95)
<b>(21) International Application Number:</b> PCT/US95/03614 <b>(22) International Filing Date:</b> 22 March 1995 (22.03.95)  <b>(30) Priority Data:</b> 08/217,391 23 March 1994 (23.03.94) US  <b>(71) Applicants:</b> ALEXION PHARMACEUTICALS, INC. [US/US]; Suite 360, 25 Science Park, New Haven, CT 06511 (US). YALE UNIVERSITY [US/US]; 451 College Street, New Haven, CT 06510 (US).  <b>(72) Inventors:</b> ROLLINS, Scott, A.; 12 Nutmeg Circle, Monroe, CT 06468 (US). SMITH, Brian, R.; P.O. Box 455, Madison, CT 06443 (US). SQUINTO, Stephen, P.; 16 Coachmans Lane, Bethany, CT 06524 (US).  <b>(74) Agent:</b> KLEE, Maurice, M.; 1951 Burr Street, Fairfield, CT 06430 (US).		<b>(81) Designated States:</b> AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> METHOD FOR REDUCING IMMUNE AND HEMOSTATIC DYSFUNCTIONS DURING EXTRACORPOREAL CIRCULATION  <b>(57) Abstract</b>  The use of anti-C5 antibodies to reduce the dysfunction of the immune and hemostatic systems associated with extracorporeal circulation procedures, such as, cardiopulmonary bypass procedures, is disclosed. The antibodies have been found to significantly reduce complement activation, platelet activation, leukocyte activation, and platelet-leukocyte adhesion associated with such procedures.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

5

METHOD FOR REDUCING IMMUNE AND HEMOSTATIC DYSFUNCTIONS  
DURING EXTRACORPOREAL CIRCULATION

10

The U.S. Government has a paid-up license in this invention and the right in limited circumstances to require the patent owner to license others on reasonable terms as provided for by the terms of Grant No. HL47193 awarded by The National Institutes of Health, Bethesda, Maryland.

FIELD OF THE INVENTION

15

The present invention relates to reducing the dysfunction of the immune and hemostatic systems associated with extracorporeal circulation. In particular, the invention relates to the use of antibodies specific to human complement component C5 to effect inhibition of the complement arm of the immune system in order to accomplish such therapeutic prophylaxis.

20

BACKGROUND OF THE INVENTION

I. Extracorporeal Circulation

25

Extracorporeal circulation (ECC) of the blood is an important medical technology that is used in a variety of life saving medical procedures. Such procedures include hemodialysis, plasmapheresis, plateletpheresis, leukopheresis, extracorporeal membrane oxygenation (ECMO), heparin-induced extracorporeal LDL precipitation (HELP), and cardiopulmonary bypass (CPB). As such, ECC is widely used in modern medical practice.

30

One of ECC's most common uses is in CPB. Nearly 400,000 CPB surgical procedures are carried out in the United States each year (Rinn, A., N Engl J Med 312:119, 1985). The primary medical application of CPB is the facilitation of coronary artery bypass grafting, but it is also utilized during other types of open heart surgery, including procedures to correct congenital heart defects, heart valve disease, or other heart defects. With improvements in surgical techniques and extracorporeal oxygenation, the overall

35

mortality for this procedure is low (1% to 4%) (Allen C.M., Br Med J 297:1485, 1988).

Because the most extensive research to date on the effects of ECC on the immune and hemostatic systems has been in the area of CPB, much of the discussion of the detailed effects of ECC presented herein is in connection with CPB. This discussion, however, is not intended to and should not be interpreted as limiting the present invention in any way to CPB.

## II. Medical Problems Caused by Extracorporeal Circulation

Although death during ECC procedures is rare, several acute and chronic complications during and subsequent to these procedures result in potentially life-threatening medical problems and cause significant expense to the health care system. Many of these complications have been associated with activation of the immune system, with the complement arm of the immune system playing a particularly important role in the development of inflammation, platelet dysfunction, thrombocytopenia, and other ECC complications. Hemostatic problems during and after ECC can be attributed to several factors, including complement-mediated platelet dysfunction, and can result in both excessive thrombosis and excessive bleeding as platelets first become activated and then become spent and non-functional, and are removed from the circulation. Management of abnormal bleeding associated with CPB often requires re-operation and is frequently associated with excessive, and sometimes inappropriate, blood product administration, occasionally exceeding the available blood supply. In some hospitals, open heart surgery accounts for more than 25% of the total blood product use (Woodman and Harker, Blood 76:1690, 1990).

Activation of the complement system occurs when blood plasma contacts foreign surfaces during ECC. Activated complement components can initiate inflammatory responses, with associated vasoconstriction, capillary leakage and platelet activation. A discussion of various of the physiological mechanisms involved in ECC-associated immune and hemostatic dysfunctions is presented below under the heading "Background Physiology."

III. Previous Approaches to Complement  
Modulation During ECC

5 The many previous approaches taken in pursuit of controlling  
activation of the complement system and associated problems arising  
during ECC illustrate the perceived importance in the medical  
research community of accomplishing these goals. These approaches  
have included attempts to alter the mechanical components of CPB  
circuits, including the use of membrane (as opposed to bubble)  
oxygenators, and of heparin-coated bypass circuits, as well as  
10 various approaches to pharmacological modulation of complement  
activation. Unfortunately, these efforts have not eliminated the  
immune and hemostatic system problems associated with ECC, and have,  
in many cases, themselves been responsible for additional adverse  
effects.

15 As mentioned above, foreign surfaces of the ECC circuit cause  
complement activation. In CPB, the oxygenator constitutes the most  
significant complement activating surface. Although some studies have  
shown that membrane oxygenators are associated with less activation  
of certain complement components than bubble oxygenators, there is  
20 no consensus that they cause less overall complement activation  
during CPB. Rather, complement activation varies with individual  
oxygenators, whether bubble or membrane (Videm, et al., Ann Thorac  
Surg 50:387, 1990).

25 Recently, heparin-coated bypass circuits have been shown to  
reduce complement activation during CPB. Unfortunately, this  
approach only partially inhibits complement activation (Nilsson, et  
al., Artif Organs 14:46, 1990). No previous pharmacological  
approach to specifically reduce complement activation during CPB has  
yielded satisfactory results.

30 Anti-inflammatory steroid drugs are immunosuppressive agents  
that can modulate complement function. Although steroid use has been  
associated with a moderate decrease in complement activation in some  
studies (Cavarocchi, et al., J Thorac Cardiovasc Surg 91:252, 1986),  
others have found no significant beneficial effect (Miyamoto, et al.,  
35 ASAIO J 31:508, 1985), and the overall immune suppression caused by

steroids, and other debilitating side effects associated with steroid administration, further limit their utility in treating ECC patients.

To date, most efforts to modulate the activation of complement in ECC have focused on complement component C3. A recent study evaluated the potential of a soluble recombinant form of human complement receptor type 1 (sCR1, designated BRL55730 by SmithKline Beecham) to reduce complement activation associated with CPB (Gillinov, et al., Ann Thorac Surg 55:619, 1993). sCR1 acts by blocking the conversion of complement component C3 into activated components C3a and C3b. Unfortunately, key measures of complement and platelet activation were not evaluated in this study, and the neutrophil and other physiological endpoint results reported were disappointing. In addition, sCR1 blocks C3, and as discussed in detail below, the blockade of C3 activation interrupts the most important antimicrobial actions of the complement system, exposing patients to increased risk of infection.

While ECC clearly causes complement activation, it is also associated with other problems, including kinin generation, loss of coagulation factors by hemodilution, fibrinolysis, liberation of thromboxane A<sub>2</sub>, and the activation of platelets and neutrophils. Many of these phenomena are, at least in part, secondary consequences of complement activation. Current therapies used to address these specific phenomena have, to date, relied on rather ineffective, broad-based antifibrinolytics and hemostatic agents, examples of which are as follows.

Aprotinin, a broad-based serine proteinase inhibitor (TRASYLOL, Bayer AG) has recently been studied for its effects on CPB associated pathology. Aprotinin inhibits kallikrein, a proteolytic enzyme that attenuates the release of neutrophil elastase, another protease, and diminishes the production of complement component C3a. In the absence of any direct evidence, it has been suggested that aprotinin may also partially inhibit C3 convertase activity (Wachtfogel, et al., Blood 69:324, 1989). Although, as mentioned above, there is a consensus in the art that C3a plays a key role in generating ECC-associated immune and hemostatic dysfunctions, CPB-induced activation of platelets, which is (at least in part) secondary to complement

activation, is unaffected by aprotinin therapy (Bidstrup, et al., J Thorac Cardiovasc Surg 973:364, 1989), and it is clear that platelet dysfunction is directly involved in the pathogenesis of ECC-associated hemostatic problems. The beneficial effects of aprotinin administration are probably related to its ability to inhibit kallikrein, thereby diminishing the proteolytic conversion of plasminogen to plasmin, a key step in blood coagulation. Efficacy of aprotinin in reducing blood loss during CPB has been demonstrated when aprotinin is administered by continuous infusion. Single dose bolus administration of aprotinin, however, has proven ineffective.

Enthusiasm for aprotinin use during CPB has been dampened by recent clinical results showing an increased incidence of perioperative myocardial infarction (16.9% vs. 8.9% for placebo) and a significant incidence of postoperative renal dysfunction associated with aprotinin administration during CPB (Cosgrove, et al., Ann Thorac Surg 54:1031, 1992). In this study, postmortem findings in some patients who died after elective coronary operations using aprotinin included acute vein graft occlusions as well as widespread thrombosis in the kidney, native coronary vessels and cerebral vessels. Despite the risks associated with these deleterious clinical events, the benefits of pharmaceutical modulation of adverse effects associated with CPB have recently led to FDA approval of aprotinin for the treatment of patients receiving CPB.

The synthetic lysine analogue EACA (AMICAR, Lederle Laboratories) has been used frequently as an antifibrinolytic agent during CPB. Although EACA is effective in reducing bleeding in a variety of clinical circumstances, its use in CPB has been controversial with regard to its potential to reduce postoperative blood loss (Copeland, et al., Ann Thorac Surg 47:88, 1989). Additionally, both arterial and venous thrombosis have complicated EACA therapy in a number of clinical trials and have generally discouraged its clinical use (Sonntag and Stein, J Neurosurg 40:480). Tranexamic acid has also been used for its anti-fibrinolytic effect, but has also been associated with excessive thrombotic complications (Orum, et al., J Thorac Cardiovasc Surg, 105:78, 1993).

The relatively non-specific hemostatic properties of the synthetic vasopressin analogue, desmopressin acetate, has made it a candidate pharmaceutical agent for treating the hemodynamic alterations associated with CPB. However, randomized double-blind studies of 150 consecutive patients undergoing elective CPB found no significant differences in blood loss or postoperative transfusion requirements in patients receiving desmopressin (Hackmann, et al., N Engl J Med 321:1437, 1989; Rocha, et al., Circulation 77:1319, 1988).

#### SUMMARY OF THE INVENTION

In view of the foregoing state of the art, it is an object of the present invention to provide a method for reducing the dysfunction of the immune and hemostatic systems associated with extracorporeal circulation.

The method of the invention involves the use of antibodies to human complement component C5 as a pharmaceutical agent. More particularly, the invention provides for the use of an anti-C5 antibody preparation that binds to native plasma C5, and thereby blocks the generation of activated complement components C5a and C5b from C5. Preferably, the antibody is a monoclonal antibody.

In certain preferred embodiments, the invention is used during CPB. In a further preferred embodiment, the inhibition of dysfunction is accomplished by the administration of a single dose of the anti-C5 antibody preparation.

As shown in the examples presented below, anti-C5 antibodies can completely block important aspects of human complement activity, while maintaining important anti-infective functions of the complement system. In particular, the antibodies completely block the generation of the cytolytic assemblage of activated complement components known as the membrane attack complex. In addition, the antibodies inhibit platelet activation, inhibit leukocyte activation, inhibit platelet-leukocyte interactions, specifically, platelet-monocyte and platelet-polymorphonuclear cell interactions, and inhibit the removal of key glycoproteins from platelet surfaces during extracorporeal circulation of human blood. As a result of



these activities, the antibodies help maintain the immune and hemostatic systems in their normal states during ECC.

In certain embodiments, the invention comprises a method for performing a therapeutic procedure on a patient comprising:

5 (a) passing circulating blood from a blood vessel of the patient, through a conduit, and back to a blood vessel of the patient, the conduit having a luminal surface comprising a material capable of causing at least one of complement activation, platelet activation, leukocyte activation, or platelet-leukocyte adhesion in  
10 the patient's blood; and

(b) introducing an antibody that specifically binds to complement component C5 and has the following properties *in vitro*:

- (1) the antibody substantially inhibits complement hemolytic activity;
- 15 (2) the antibody substantially prevents the generation of activated complement component C5a, as shown by a substantial reduction in the expression of the leukocyte activation marker CD11b/CD18; and
- (3) the antibody does not substantially inhibit C3  
20 convertase activity;

into the patient's bloodstream in an amount effective to reduce at least one of complement activation, platelet activation, leukocyte activation, or platelet-leukocyte adhesion resulting from passage of the circulating blood through said conduit,

25 wherein step (a) occurs in at least one temporal relation to step (b) selected from the group consisting of before step (b), during step (b), and after step (b).

The accompanying figures, which are incorporated in and constitute part of the specification, illustrate certain aspects of the invention, and together with the description, serve to explain  
30 the principles of the invention. It is to be understood, of course, that both the figures and the description are explanatory only and are not restrictive of the invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

35 Figure 1 -- Hemolytic assay demonstrating anti-C5 monoclonal antibody inhibition of complement activity associated with human

blood circulated through an extracorporeal circuit. Assays were performed before the addition of the antibody or the commencement of the CPB circuit ("Pre Tx") using undiluted blood ("undil") and diluted blood ("dil") as described in Example 1. Samples of diluted blood to which the antibody had been added ("Post Tx") were assayed at the times indicated after starting the CPB circuit.

Figure 2 -- Assay of levels of complement component C3a demonstrating that the generation of complement component C3a in whole human blood circulated through an extracorporeal circuit is not inhibited by the addition of an anti-C5 monoclonal antibody to such whole blood. Assays were performed before the addition of the antibody or the commencement of the CPB circuit ("Pre Tx") using undiluted blood ("undil") and diluted blood ("dil") as described in Example 1. Samples of diluted blood to which the antibody had been added ("Post Tx") were assayed at the times indicated after starting the CPB circuit.

Figure 3 -- Assay of the levels of soluble C5b-9 in human blood circulated through an extracorporeal circuit demonstrating that the addition of an anti-C5 monoclonal antibody to such whole blood inhibits the formation of the C5b-9 terminal complement assembly. Assays were performed before the addition of the antibody or the commencement of the CPB circuit ("Pre Tx") using undiluted blood ("undil") and diluted blood ("dil") as described in Example 1. Samples of diluted blood to which the antibody had been added ("Post Tx") were assayed at the times indicated after starting the CPB circuit.

Figure 4 -- FACS analysis of levels of GPIb ("GP1b") on all platelets, GPIIb-IIIa on all platelets (regardless of P-selectin expression, "GPIIb-IIIa"), P-selectin on all platelets ("%PLT activ"), GPIIb-IIIa on P-selectin positive platelets ("IIb-IIIa-act"), GPIIb-IIIa on P-selectin negative platelets ("IIb-IIIa-inact"), platelet neutrophil binding ("PLT/PMN"), platelet monocyte binding ("PLT/MONO"), neutrophil CD11b levels ("PMN CD11b"), and monocyte CD11b levels ("MONO CD11b"), demonstrating inhibition of all except the last of these parameters by the addition of an anti-C5 monoclonal antibody to whole human blood during ECC.

## BACKGROUND PHYSIOLOGY

### I. Introduction

As described above, the present invention relates to inhibition of dysfunctions of the immune and hemostatic systems during ECC. To provide background for the description of the preferred embodiments and the examples presented below, we turn first to general discussions in the context of ECC of the relevant aspects of the immune and hemostatic systems, in particular, certain aspects of the complement arm of the immune system, the cellular arm of the immune system, and the physiology of platelets.

### II. Pathophysiology Associated With ECC

A key pathophysiologic change in the blood that is associated with ECC is the rapid activation of the complement cascade. Activation of complement components that mediate inflammation and impact the hemostatic properties of the blood occurs when blood comes in direct contact with the various non-biological components of the ECC circuit (Videm, et al., J. Thorac. Cardiovasc. Surg., 97:764-770, 1989), and can be inhibited to some extent by certain drugs, particularly heparin and protamine, that are administered to patients during ECC procedures (Jones, et al., Anaesthesia, 37:629-633, 1982).

Interdependent disturbances in both the immune system and the hemostatic system are seen during CPB and other types of ECC. Many of these changes intersect at the level of platelet function, as the actions of the complement arm of the immune system can result in platelet activation.

In addition to its effects on platelets and the complement arm of the immune system, ECC also effects the cellular arm of the immune system both through effects on leukocytes and through effects on platelet-leukocyte interactions.

#### (a) Effects of ECC on Platelets

Platelets are anuclear, cellular elements of the blood that are vitally important for the formation of blood clots and the prevention of excessive bleeding. An abnormally severely low platelet count in the blood, a condition known as thrombocytopenia, often results in

severe bruising, hemorrhage from mucosal membranes, and considerable loss of blood following surgery or other injury.

Platelet dysfunction has been linked with the contact of platelets with the non-biological surfaces of the extracorporeal oxygenator and the hypothermia associated with CPB. Several other mechanisms, alone or in combination, have also been implicated as contributing to platelet dysfunction. For example, mechanical trauma due to shear stress, surface adherence, and turbulence within the extracorporeal oxygenator may cause fragmentation of platelet membranes. Activated complement components, however, are arguably the most important factors contributing to the platelet dysfunction associated with ECC.

CPB adversely affects platelet count as well as function. Hemodilution during CPB causes platelet counts to rapidly decrease soon after starting CPB, declining to about 50% of preoperative levels. This level of circulating platelets, if occurring in the context of normal individual platelet function, is unlikely to contribute to clinical bleeding. Of greater significance to the development of CPB associated morbidity, however, is the progressive loss of platelet function seen during and after CPB. Within minutes after initiating CPB, bleeding time is prolonged significantly and platelet aggregation is impaired. These changes in bleeding time are independent of platelet count and worsen as CPB progresses. Bleeding times, normally less than 10 minutes, can approach 30 minutes after 2 hours of CPB.

Platelets undergo profound biochemical and morphological alterations when activated by certain stimuli. When caused by stimuli associated with conditions calling for rapid hemostasis, these alterations are associated with the normal functions of platelets. When caused by ECC, pathophysiologic outcomes result. Activation-induced alterations in platelet characteristics include exocytotic degranulation with the release of the contents of various storage organelles, shape changes, and the induction of adhesiveness, aggregation, and thromboxane production.

A specific integral membrane protein, P-selectin (also known as CD62, CD62P, GMP-140, and PADGEM), which is located on the inner

surfaces of platelet alpha granules, is translocated to the platelet surface as these organelles turn inside-out during exocytosis. The appearance of P-selectin on the platelet surface thus serves as a marker of platelet activation.

5        Various lines of evidence suggest that the expression of P-selectin and the temporary depletion or modification of some functional platelet membrane components are key measures of platelet functionality. Several studies have reported significant decreases in the amount of glycoprotein membrane antigens GPIb (CD45b), GPIIb, 10        and GPIIIa on circulating platelets following CPB. The loss of platelet GPIIIa in particular is associated with a reduction in total fibrinogen binding sites. Such surface altered platelets thus demonstrate poor hemostatic capacity.

15        The removal of platelet membrane glycoproteins may be mediated by the activity of proteolytic enzymes such as plasmin and elastase. The ability of the protease inhibitor aprotinin (discussed above) to minimize glycoprotein (specifically, GPIb) loss after CPB provides evidence implicating direct proteolysis of platelet membrane glycoproteins in the development of platelet dysfunction (Wenger, et 20        al., J Thorac Cardiovasc Surg, 97:235, 1989).

Effects of ECC on platelets are particularly significant because platelets can only be activated once, i.e., activation of platelets decreases the number of functional platelets available when platelet functions are subsequently required. The importance of the 25        effects of ECC on platelets is demonstrated by the finding that the impaired hemostasis observed after cardiac operations is mainly attributable to platelet dysfunction (Mohr, et al., J. Thorac. Cardiovasc. Surg. 96:530, 1988).

(b) Platelet-Leukocyte Interactions Associated with ECC

30        The P-selectin molecule, which appears on the membrane surface of activated platelets, is known to mediate the binding of platelets to various types of white blood cells (WBCs, leukocytes) without requiring the activation of the WBCs for such binding to occur. These WBCs include polymorphonuclear leukocytes (PMNs, neutrophils, 35        granulocytes), and monocytes, and the P-selectin mediated binding results in platelet-PMN, and platelet-monocyte conjugate formation

(Larsen, et al., J Biol Chem 267:11104-11110, 1992; Corral, et al., Biochem Biophys Res Commun 172:1349-1353, 1990). One result of such conjugate formation is the removal of platelets from the circulation, a phenomenon that can contribute to the development of thrombocytopenia (Rinde, et al., Transfusion, 31:408-414, 1991).

Such leukocyte-platelet adhesion is also believed to be of physiologic importance in the targeting of leukocytes to appropriate inflammatory and/or hemostatic sites and in modulating leukocyte function. The relevance of such targeting has been recently demonstrated in vivo in a baboon model where blockade of P-selectin with a monoclonal antibody resulted in decreased monocyte accumulation on an artificial vascular graft and decreased procoagulant activity (Palabrica, et al., Nature 359:848-851, 1992). Such leukocyte-platelet adhesion caused by P-selectin has been found to be induced by CPB (Rinder, et al., Blood, 79:1201-1205, 1992).

The modulation of leukocyte function mediated by ECC-associated platelet-leukocyte binding includes the upregulation of the major monocyte procoagulant molecule known as "tissue factor" (TF). Data reported by Catalett, et al., Blood 78 (Suppl 1):279a, 1991, suggests that P-selectin induces TF upregulation on monocytes over a four hour period. Such TF upregulation enhances the pro-coagulatory effects of leukocytes (Altieri, Blood 81:569-579, 1993). In a simulated closed-loop CPB model, Kappelmayer, et al., Circ Res 72:1075-1081, 1993, have recently demonstrated CPB-associated upregulation of both the quantity and activity of TF on circulating monocytes. The expression of monocyte tissue factor several hours after the conclusion of a CPB procedure, combined with other procoagulatory events occurring during CPB (Evangelista, et al., Blood 77:2379-2388, 1991; Higuchi, et al., Blood 79:1712-1719, 1992; Weitz, et al., J Exp Med 166:1836-1850, 1987) is believed to predispose the patient to late thrombotic events, such as vascular graft re-occlusion.

(c) Other Effects of ECC on Leukocytes

In addition to upregulation of TF on monocytes, CPB-associated upregulation of cell adhesion ligands that contain the  $\beta_2$  integrin CD18, in particular, the heterodimeric adhesive ligand that contains CD18 and CD11b (known as CD11b/CD18 or MAC-1), has been described on

monocytes and neutrophils (Rinder, et al., Blood 79:1201-1205, 1992). Such upregulation is particularly relevant to CPB-induced injury since CD11b/CD18 is responsible for leukocyte adherence to and penetration (diapedesis) through the endothelium via binding to the intercellular adhesion molecules ICAM-1 and ICAM-3 on "activated" endothelium (Staunton, et al., Cell 52:925-933, 1988; Staunton, et al., Nature 339:61-64, 1989; Furie, et al., J Immunol 148:2395-2404, 1992).

In experimental systems, administration of monoclonal antibodies blocking the activity of CD11b/CD18 has been shown to prevent reperfusion injury (Simpson, et al., J Clin Invest 81:624-629, 1988; Arnaout, Blood 75:1037-1050, 1990; Dreyer, et al., Circ 84:400-411, 1991). In addition, increased CD11b/CD18 expression on leukocytes has been linked to complications associated with hemodialysis (Arnaout, et al., N Engl J Med 312:457, 1985). Thus, CD11b/CD18 may contribute to ECC associated medical problems.

In addition to the foregoing, the CD11b moiety functions as the receptor for complement component iC3b and for elements of the contact dependant soluble coagulation system, including fibrinogen, fibronectin, and Factor X (Altieri, et al., Proc Natl Acad Sci USA 85:7462-7465, 1988; Nathan, et al., J Cell Biol 109:1341-1349, 1989). The interactions of CD11b with fibrinogen may be of particular importance to ECC-associated pathology, since, as discussed above, the platelet surface glycoprotein complex GPIIb-IIIa is also associated with fibrinogen binding, thus providing a potential means for platelet-leucocyte adhesion, i.e., via a CD11b--fibrinogen--GPIIb-IIIa linkage (Altieri, et al., Proc Natl Acad Sci USA 85:7462-7465, 1988). Induction of an inflammation-associated respiratory burst in granulocytes in response to a variety of cytokines also appears to require CD11b/CD18 in the local adherent microenvironment.

Thus this  $\beta_2$  integrin complex exerts its effects at critical junctures of the complement system, the cellular immune system, and the soluble cellular coagulation pathway. CD11b/CD18 expression on leukocyte surfaces can thus be assayed as a predictive indicator related to the probability of the occurrence of complement, immune, and coagulation associated events.

(d) The Complement System

The complement system acts in conjunction with other immunological systems of the body to defend against intrusion of cellular and viral pathogens. There are at least 25 complement proteins, which are found as a complex collection of plasma proteins and membrane cofactors. The plasma proteins make up about 10% of the globulins in vertebrate serum. Complement components achieve their immune defensive functions by interacting in a series of intricate but precise enzymatic cleavage and membrane binding events. The resulting complement cascade leads to the production of products with opsonic, immunoregulatory, and lytic functions.

The complement cascade progresses via the classical pathway or the alternative pathway. These pathways share many components, and while they differ in their initial steps, they converge and share the same "terminal complement" components responsible for the activation, attack, and destruction of target cells.

The classical complement pathway is typically initiated by antibody recognition of and binding to an antigenic site on a target cell. The alternative pathway is usually antibody independent, and can be initiated by certain molecules on pathogen surfaces. Both pathways converge at the point where complement component C3 is cleaved by an active protease (which is different in each pathway) to yield C3a and C3b. Other pathways activating complement attack can act later in the sequence of events leading to various aspects of complement function.

C3a is an anaphylotoxin (see below). C3b binds to bacterial and other cells and tags them for removal from the circulation. (C3b in this role is known as opsonin.) The opsonic function of C3b is considered to be the most important anti-infective action of the complement system. Patients with genetic lesions that block C3b function are prone to infection by a broad variety of pathogenic organisms, while patients with lesions later in the complement cascade sequence, i.e., patients with lesions that block C5 functions, are found to be more prone only to Neisseria infection, and then only somewhat more prone (Fearon, in Intensive Review of



Internal Medicine, 2nd Ed. Fanta and Minaker, eds. Brigham and Women's and Beth Israel Hospitals, 1983).

5 C3b also forms a complex with other components unique to each pathway to form classical or alternative C5 convertase, which cleaves C5 into C5a and C5b. C3 is thus regarded as the central protein in the complement reaction sequence since it is essential to both the alternative and classical pathways (Wurzner, et al., Complement Inflamm 8:328-340, 1991). This property of C3b is regulated by the serum protease Factor I, which acts on C3b to produce iC3b. While  
10 still functional as opsonin, iC3b cannot form an active C5 convertase.

C5a is another anaphylatoxin (see below). C5b combines with C6, C7, and C8 to form the C5b-8 complex at the surface of the target cell. Upon binding of several C9 molecules, the membrane attack complex (MAC, C5b-9, terminal complement complex -- TCC) is formed.  
15 When sufficient numbers of MACs insert into target cell membranes the openings (MAC pores) they create mediate rapid osmotic lysis of the target cells. Lower, non-lytic concentrations of MACs can produce other effects. In particular, membrane insertion of small numbers  
20 of the C5b-9 complexes into endothelial cells and platelets can cause deleterious cell activation. In some cases activation may precede cell lysis.

Certain aspects of the mechanism of C5b-9 mediated platelet activation have been described (Sims, et al., J Biol Chem, 264:19228, 1989). Sublytic concentrations of MAC pores on platelet surfaces  
25 allow an influx of extracellular calcium ions into the platelet. The resulting elevated levels of intraplatelet calcium ions act as an activating signal and induce the surface expression of P-selectin that is characteristic of activated platelets. Since, as described  
30 above, P-selectin mediates platelet-monocyte binding, and such binding triggers the release of tissue factor from monocytes, the formation of MAC pores in platelet surface membranes can have deleterious effects on patients. For example, platelet activation by MAC pores can pr dispose a CPB patient to late thrombotic events,  
35 such as vascular graft re-occlusion.

As mentioned above, C3a and C5a are anaphylatoxins. These activated complement components can trigger mast cell degranulation, which releases histamine and other mediators of inflammation, resulting in smooth muscle contraction, increased vascular permeability, leukocyte activation, and other inflammatory phenomena. C5a also functions as a chemotactic peptide that serves to attract pro-inflammatory granulocytes to the site of complement activation. In addition, direct activation of endothelial cells by C5a induces the release of the coagulation-inhibiting glycoprotein heparan sulfate. The release of heparan sulfate can have the effect of decreasing the levels of this coagulation-inhibiting molecule at the endothelial cell surface, with the consequence of increasing the thrombogenic potential of the endothelial cell surface (Platt, et al., J Exp Med 171:1363, 1990).

(e) Complement and Platelet Function and ECC

The activation and consumption of complement components during ECC, specifically CPB, is evidenced by levels of hemolytic complement activity that are much lower at the end of CPB than can be explained by hemodilution alone. A rapid effect on complement components accompanies the initiation of extracorporeal circulation; evidence of alternative pathway activation is observed minutes after the onset of CPB. Classical pathway activation has also been observed during CPB (Haslam, et al., Anaesthesia 35:22, 1980).

The levels of C3a anaphylatoxin have been found to increase dramatically during CPB, and there is a strong statistical association between elevated C3a levels and postoperative organ system dysfunction manifest by impairment and/or failure of cardiac, renal and pulmonary systems, bleeding diathesis, and the need for artificial ventilation (Kirklin, et al., J Thorac Cardiovasc Surg 86:845-847, 1983). This association has led to the belief that C3a is a key mediator of the deleterious effects linked to complement activation during CPB.

It is well established that platelets can be activated by the assembly of terminal complement components C5b-9 on their surfaces. The assembly of these complement components on platelets is known to occur during ECC (Finn, et al., J Thorac Cardiovasc Surg, 105:234, 1993). Complement-mediated platelet activation, in turn,

leads to alpha-granule release, increased expression of P-selectin, and the loss of GPIb. . The generation of products of complement activation such as C3a, C5a, and C5b-9 further results in platelet membrane vesiculation and consequent microparticle formation.

5        Other damaging effects of complement activation during CPB can include the activation of granulocytes, leading to partial degranulation and up-regulation of CD11b/CD18, and to damage to organs. Such damaging effects are largely due to the actions of certain products of complement activation, specifically the  
10        anaphylatoxins C3a and C5a, which, in turn, can be converted to desArg forms with altered activity levels by plasma carboxypeptidase. These activated complement components cause activation and aggregation of neutrophils. Such activated cells, in turn, accumulate in the pulmonary vessels and vascular beds, as has been demonstrated by  
15        serial biopsies of lung tissue before and after CPB (Howard, et al., Arch Surg, 123:1496-1501, 1988). Liver, brain and pancreas, also suffer such damage, which can result in postoperative dysfunction of these organs.

20        Although circumstantial data indicates that activated complement components C3a, C5a, and C5b-9 play a critical role in the pathophysiology of CPB, to date definitive evidence has been lacking. Additionally, the relative contribution of these individual complement components to the generation of the inflammatory process associated with CPB has remained undefined.

#### 25        DESCRIPTION OF THE PREFERRED EMBODIMENTS

As discussed above, the present invention relates to the use of anti-C5 antibodies in ECC. More generally, the invention relates to the use of anti-C5 antibodies in any procedure which involves circulating the patient's blood from a blood vessel of the patient,  
30        through a conduit, and back to a blood vessel of the patient, the conduit having a luminal surface comprising a material capable of causing at least one of complement activation, platelet activation, leukocyte activation, or platelet-leukocyte adhesion. Such procedures include, but are not limited to, all forms of ECC, as well as  
35        procedures involving the introduction of an artificial or foreign organ, tissue, or vessel into the blood circuit of a patient.

Conduit materials which have the foregoing effects on blood include those now known in the art as well as those which may be developed in the future. Such materials include synthetic materials such as the various forms of plastics and synthetic polymers used in CPB circuits, hemodialysis circuits, vascular grafts, and artificial organs, as well as biological materials of xenogeneic origin.

The anti-C5 antibody is used to reduce at least one of complement activation, platelet activation, leukocyte activation, or platelet-leukocyte adhesion resulting from the circulation of the patient's blood through such a conduit. Reduction of complement activation can be measured by methods well known in the art, for example, by the chicken erythrocyte hemolysis method described below in Example 1. Preferably, a sufficient amount of antibody is used to reduce the increase in plasma hemolytic activity resulting from complement activation caused by contact with the conduit by at least 50%.

Reduction of platelet activation can be measured by various methods well known in the art, for example, by immunofluorescence analysis of the expression of platelet activation markers GPIIb-IIIa or P-selectin by the methods described below in Examples 1 and 4. Again, it is preferred to use a sufficient amount of antibody so as to achieve at least a 50% reduction in the increase in the expression of at least one of the platelet activation markers resulting from contact with the conduit.

Reduction of leukocyte activation can be measured by various methods well known in the art, for example, by immunofluorescence analysis of the expression of the leukocyte activation marker CD11b/CD18. As described in Example 4, the expression of this marker can be determined by the measurement of CD11b expression. Again, it is preferred to use a sufficient amount of antibody so as to achieve at least a 50% reduction in the increase in the expression of the leukocyte activation marker by at least one type of leukocyte, e.g., PMNs, resulting from contact with the conduit.

Reduction of the levels of platelet-leukocyte adhesion, specifically platelet-monocyte and platelet-neutrophil adhesion, can be measured by the fluorescence analysis techniques described below in

Example 4, e.g., as the percent increase in leukocytes that read as GPIb or GPIIb/IIIa positive. Again, it is preferred to use a sufficient amount of antibody so as to achieve at least a 50% reduction in the increase in the percentage of GPIb or GPIIb/IIIa positive monocytes or neutrophils resulting from contact with the conduit.

To achieve the desired reductions, the anti-C5 antibodies can be administered in a variety of unit dosage forms. The dose will vary according to, e.g., the particular antibody, the manner of administration, the particular procedure being performed and its duration, the nature of the conduit or conduits used in the procedure, the overall health, condition, size, and age of the patient, and the judgment of the prescribing physician. Dosage levels for human subjects are generally between about 1 mg per kg and about 100 mg per kg per patient per treatment, and preferably between about 5 mg per kg and about 50 mg per kg per patient per treatment. In some cases, only a single dose of the anti-C5 antibody can be administered to the patient in order to achieve a therapeutic effect.

The anti-C5 antibody is preferably administered prior to contact of the blood with the conduit. In addition, administration can be continued for as long as the blood is in contact with the conduit, and even after that time, if desired. In the case of prior administration, a single dose can be used. For administration continuing throughout the procedure and/or thereafter, periodic doses or a continuous infusion of the anti-C5 antibody can be used.

A typical procedure, in accordance with the present invention, thus includes the following steps. The patient is prepared for surgery in accordance with standard practice, and within an hour of the commencement of surgery, but generally before the commencement of the surgery, a first dose of the pharmaceutical preparation containing the anti-C5 antibody is given. Usually, as determined by the judgement of the physician, a series of doses may also be administered during and after the procedure. Alternatively, during and after the procedure is conducted, levels of serum complement activity available in the patient's blood are monitored using the techniques set forth below in Example 1 to determine if additional doses are required, with

such doses being administered as required to maintain at least a 50% reduction, and preferably about a 95% reduction of serum complement activity.

Administration of the anti-C5 antibodies will generally be performed by an intravascular route, e.g., via intravenous infusion by injection. Other routes of administration may be used if desired. Formulations suitable for injection are found in Remington's Pharmaceutical Sciences, Mack Publishing Company, Philadelphia, PA, 17th ed. (1985). Such formulations must be sterile and non-pyrogenic, and generally will include a pharmaceutically effective carrier, such as saline, buffered (e.g., phosphate buffered) saline, Hank's solution, Ringer's solution, dextrose/saline, glucose solutions, and the like. The formulations may contain pharmaceutically acceptable auxiliary substances as required, such as, tonicity adjusting agents, wetting agents, bactericidal agents, preservatives, stabilizers, and the like.

The formulations (pharmaceutical agents) of the invention can be distributed as articles of manufacture comprising packaging material and the anti-C5 antibody. The packaging material will include a label which indicates that the formulation is for use in association with an extracorporeal circulation procedure, e.g., a cardiopulmonary bypass procedure.

The anti-C5 antibody is preferably a monoclonal antibody, although polyclonal antibodies produced and screened by conventional techniques can also be used if desired. Preferably, the anti-C5 antibodies are immunoreactive against epitopes on the beta chain of purified human complement component C5 (i.e., the part of the C5 molecule that becomes C5b) and are capable of blocking the conversion of C5 into C5a and C5b by C5 convertase. This capability can be measured using the techniques described in Wurznner, et al., Complement Inflamm 8:328-340, 1991. The amount of reduction of C5 convertase activity is preferably at least about 50% of the increase in convertase activity resulting from contact with the conduit.

Hybridomas producing monoclonal antibodies reactive with complement component C5 can be obtained according to the teachings of Sims, et. al., U.S. Patent No. 5,135,916. As discussed therein,

antibodies are prepared using purified components of the complement membrane attack complex as immunogens. In accordance with the present invention, complement component C5 or C5b is preferably used as the immunogen. In accordance with the present invention, the antibodies preferably should prevent the cleavage of C5 to form C5a and C5b, thus preventing the generation of the anaphylatoxic activity associated with C5a and preventing the assembly of the membrane attack complex associated with C5b. In a particularly preferred embodiment, these anti-C5 antibodies will not impair the opsonization function associated with the activation of complement component C3 by a C3 convertase. Plasma C3 convertase activity can be measured by assaying plasma for the presence of C3a as described below in Example 2. Preferably, the anti-C5 antibody produces essentially no reduction in plasma C3a levels.

General methods for the immunization of animals (in this case with C5 or C5b), isolation of antibody producing cells, fusion of such cells with immortal cells (e.g., myeloma cells) to generate hybridomas secreting monoclonal antibodies, screening of hybridoma supernatants for reactivity of secreted monoclonal antibodies with a desired antigen (in this case C5 or C5b), the preparation of quantities of such antibodies in hybridoma supernatants or ascites fluids, and for the purification and storage of such monoclonal antibodies, can be found in numerous publications. These include: Coligan, et al., eds. Current Protocols In Immunology, John Wiley & Sons, New York, 1992; Harlow and Lane, Antibodies, A Laboratory Manual, Cold Spring Harbor Laboratory, New York, 1988; Liddell and Cryer, A Practical Guide To Monoclonal Antibodies, John Wiley & Sons, Chichester, West Sussex, England, 1991; Montz, et al., Cellular Immunol. 127:337-351, 1990; Wurznner, et al., Complement Inflamm 8:328-340, 1991; and Mollnes, et al., Scand. J. Immunol. 28:307-312, 1988.

As used herein, the term "monoclonal antibodies" refers to immunoglobulins produced by a hybridoma and antigen binding fragments (e.g., Fab' preparations) of such immunoglobulins, as well as to recombinantly expressed antigen binding proteins, including immunoglobulins, chimeric immunoglobulins, "humanized" immunoglobulins, antigen binding fragments of such immunoglobulins,

single chain antibodies, and other recombinant proteins containing antigen binding domains derived from immunoglobulins. Publications describing methods for the preparation of such monoclonal antibodies, in addition to those listed immediately above, include: Reichmann, et al., Nature, 332:323-327, 1988; Winter and Milstein, Nature, 349:293-299, 1991; Clackson, et al., Nature, 352:624-628, 1991; Morrison, Annu Rev Immunol, 10:239-265, 1992; Haber, Immunol Rev, 130:189-212, 1992; and Rodrigues, et al., J Immunol, 151:6954-6961, 1993.

Without intending to limit it in any manner, the present invention will be more fully described by the following examples.

#### EXAMPLE 1

##### Anti-C5 Monoclonal Antibody Inhibition of Complement Activity During Extracorporeal Circulation

The effects of a monoclonal antibody raised to human C5 on complement activation was evaluated using a closed-loop CPB model for the extracorporeal circulation of human blood. The C5 monoclonal antibody was raised in mice against purified human C5 protein (Wurzner, et al., Complement Inflamm 8:328-340, 1991; N19-8 mAb) and was propagated, recovered and purified as an IgG fraction from mouse ascites fluid (Antibodies, A Laboratory Manual, Cold Spring Harbor Laboratory, New York, 1988; Current Protocols In Immunology, John Wiley & Sons, New York, 1992).

To carry out these experiments, 300 ml of whole human blood was drawn from a healthy human donor and additionally a 1 ml sample was removed as a control sample for later analysis. The blood was diluted to 600 ml by the addition of Ringer's lactate solution containing 10U/ml heparin. The blocking anti-C5 mAb (30 mg in sterile PBS) was added to the diluted blood to a final concentration of 50 µg/ml. In a control experiment, an equal volume of sterile PBS was added to the diluted blood. The blood was then used to prime the extracorporeal circuit of a COBE CML EXCEL membrane oxygenator CPB machine (Cobe BCT, Inc., Lakewood, CO) and the circuit was started. The circuit was cooled to 28°C and circulated for 60 min. The circuit was then warmed to 37°C and circulated for an additional 30 min. The experiment was then terminated. Samples were taken at several time points and evaluated for complement activity (Figure 1).



At each time point an aliquot of whole blood was taken, divided into 3 samples and A) diluted 1:1 in 2% paraformaldehyde in PBS to evaluate platelet and blood cell activation parameters (Example 4); B) centrifuged to remove all cells and plasma diluted 1:1 in Quidel sample preservation solution (Quidel Corporation, San Diego, CA) and stored at -80°C to evaluate C3a and C5b-9 generation (Examples 2 and 3, respectively), and C) centrifuged to remove all cells and plasma frozen at -80°C for hemolytic assays to evaluate complement activity (Example 1).

To evaluate complement activity, the frozen plasma samples were thawed and hemolytic assays were performed as follows. Chicken erythrocytes were washed well in GVBS (Rollins, et al., J Immunol 144:3478-3483, 1990) and resuspended to  $2 \times 10^8$ /ml in GVBS. Anti-chicken erythrocyte antibody (IgG fraction of anti-chicken-RBC antiserum, Intercell Technologies, Hopewell, NJ) was added to the cells at a final concentration of 25 µg/ml and the cells were incubated for 15 min. at 23°C. The cells were washed 2x with GVBS and  $5 \times 10^6$  cells were resuspended in a final volume of 130 µl GVBS containing 5% plasma. After incubation for 30 min. at 37°C, % hemolysis was calculated relative to a human serum control sample (Figure 1). Hemolysis was determined by spinning the cells down and measuring released hemoglobin in the supernatant as the optical density at 415nm.

As can be seen in Figure 1, addition of the anti-C5 antibody to the extracorporeal circuit resulted in a 95% reduction in serum complement activity. The complement activity remained inhibited throughout the course (90 minutes) of the experiment.

#### EXAMPLE 2

##### Generation of C3a During Extracorporeal Circulation

The fresh frozen plasma samples that had previously been diluted in Quidel sample preservation solution (see Example 1) were assayed for the presence of the complement split product C3a by using the Quidel C3a EIA kit (Quidel Corporation, San Diego, CA). These measurements were carried out according to the manufacturers specifications. C3a released is expressed in ng/well as determined by

comparison to a standard curve generated from samples containing known amounts of human C3a.

As seen in Figure 2, addition of the anti-C5 antibody had no effect on the production of C3a during the CPB experiment. C3a generation was dramatically increased during the final 30 min. of the experiment and correlates with sample warming.

### EXAMPLE 3

#### Prevention of the Generation of C5b-9 During Extracorporeal Circulation

Fresh frozen plasma samples that had been previously diluted in Quidel sample preservation solution (see Example 1) were assayed for the presence of the terminal human complement complex C5b-9 using the Quidel C5b-9 kit (Quidel Corporation, San Diego, CA). The amount of C5b-9 in each sample was determined using the manufacturers specifications and is expressed in arbitrary absorbance units (AU).

As can be seen in Figure 3, the anti-C5 antibody completely inhibited C5b-9 generation during extracorporeal circulation so that C5b-9 levels during the full course of the run were equivalent to control ( $t_0$ ) time points. The results of this experiment and those of Examples 1 and 2 show that the addition of an anti-C5 mAb during extracorporeal circulation effectively inhibits both complement hemolytic activity (Figure 1) and C5b-9 generation (Figure 3), but not C3a generation (Figure 2).

### EXAMPLE 4

#### Prevention of Platelet and Leukocyte Activation and Adhesion During Extracorporeal Circulation

Paraformaldehyde fixed samples of whole blood taken at T=0 and T=90 minutes during the extracorporeal circulation experiment (see Example 1) were washed, labeled with fluorescent antibodies directed against various cell surface marker molecules, and analyzed by flow cytometry (FACS). The primary antibodies used in these experiments were anti-GPIIb-IIIa (clone RUUSP2.41, AMAC, Inc., Westbrook, ME); anti-CD11b (Anti-CR3 (leu-15) clone D12, Becton-Dickinson Immunocytometry Systems, San Jose, CA); anti-P-selectin, (1E3 obtained from Dr. Kenneth Ault, Maine Medical Center Research Institute, Portland, ME); anti-GPIb (CD42b), (IOP42b clone SZ2, AMAC, Inc.,

Westbrook, ME), and anti-CD45 (a marker for both monocytes and PMNs, which are distinguished by the forward and side scatter characteristics of the FACS profiles of the CD45 positive cells) (Anti-Leucocyte (HLe-1; CD45) clone 2D1, Becton-Dickinson Immunocytometry Systems, San Jose, CA). The percent change in surface expression of various cell surface receptors (Platelet GPIb, Platelet GPIIb-IIIa, P-selectin, CD11b) was calculated by comparison of mean FL-1 values obtained for each antibody by the following calculation:  $T=90 / T=0 \times 100$ .

Platelet monocyte binding (PLT/MONO) and platelet neutrophil binding (PLT/PMN) was determined by calculating the percentage of neutrophils or monocytes that read positive for the platelet-specific receptor GPIb.

As can be seen in Figure 4, the addition of anti-C5 antibody to the blood in the ECC circuit had a dramatic effect on various aspects of platelet and blood cell activation. In the presence of the anti-C5 antibody, platelets retained 50% more GPIb as compared to non-treated controls. Similarly, platelets retained virtually all of their cell surface GPIIb-IIIa while untreated platelets lost 80% of this receptor. Platelet activation as determined by expression of P-selectin was completely inhibited by the addition of the anti-C5 antibody. Conjugate formation by platelets and monocytes and/or platelets and neutrophils was inhibited by addition of the anti-C5 antibody. Additionally, expression of CD11b on neutrophils was blocked by 75% by anti-C5 while CD11b expression on monocytes was unaffected.

These results show that the anti-C5 antibody is a potent inhibitor of complement-induced platelet activation, leukocyte activation, specifically, PMN activation, and platelet-leukocyte adhesion during extracorporeal circulation and that terminal complement components, and not C3a, are largely responsible for complement-mediated inflammation and platelet dysfunction.

Throughout this application various publications, patents, and patent applications are referred to. The teachings and disclosures of these publications, patents, and patent applications in their entireties are hereby incorporated by reference into this application

to more fully describe the state of the art to which the present invention pertains.

Although preferred and other embodiments of the invention have been described herein, further embodiments may be perceived by those skilled in the art without departing from the scope of the invention  
5 as defined by the following claims.

What is claimed is:

1. A method for performing a therapeutic procedure on a patient comprising:

(a) passing circulating blood from a blood vessel of the patient, through a conduit, and back to a blood vessel of the patient, the conduit having a luminal surface comprising a material capable of causing at least one of complement activation, platelet activation, leukocyte activation, or platelet-leukocyte adhesion in the patient's blood; and

(b) introducing an antibody that specifically binds to complement component C5 into the patient's bloodstream in an amount effective to reduce at least one of complement activation, platelet activation, leukocyte activation, or platelet-leukocyte adhesion resulting from passage of the circulating blood through said conduit, wherein step (a) occurs before and/or during and/or after step (b).

2. The method of Claim 1 wherein the antibody reduces the conversion of complement component C5 into complement components C5a and C5b.

3. The method of Claim 1 wherein the antibody specifically binds to C5b.

4. The method of Claim 1 wherein the antibody does not substantially inhibit formation of complement component C3b.

5. The method of Claim 1 wherein the therapeutic procedure is an extracorporeal circulation procedure.

6. The method of Claim 5 wherein the extracorporeal circulation procedure is a cardiopulmonary bypass procedure.

7. An article of manufacture comprising packaging material and a pharmaceutical agent contained within said packaging material, wherein:

(a) said pharmaceutical agent comprises an antibody that specifically binds to complement component C5, said antibody being effective for reducing at least one of complement activation, platelet activation, leukocyte activation, or platelet-leukocyte adhesion caused by passage of circulating blood from a blood vessel of a patient, through a conduit, and back to a blood vessel of the patient,

the conduit having a luminal surface comprising a material capable of causing at least one of complement activation, platelet activation, leukocyte activation, or platelet-leukocyte adhesion in the patient's blood; and

(b) said packaging material comprises a label which indicates that said pharmaceutical agent is for use in association with an extracorporeal circulation procedure.

8. The article of manufacture of Claim 7 wherein the label indicates that said pharmaceutical agent is for use in association with an cardiopulmonary bypass procedure.

1/4

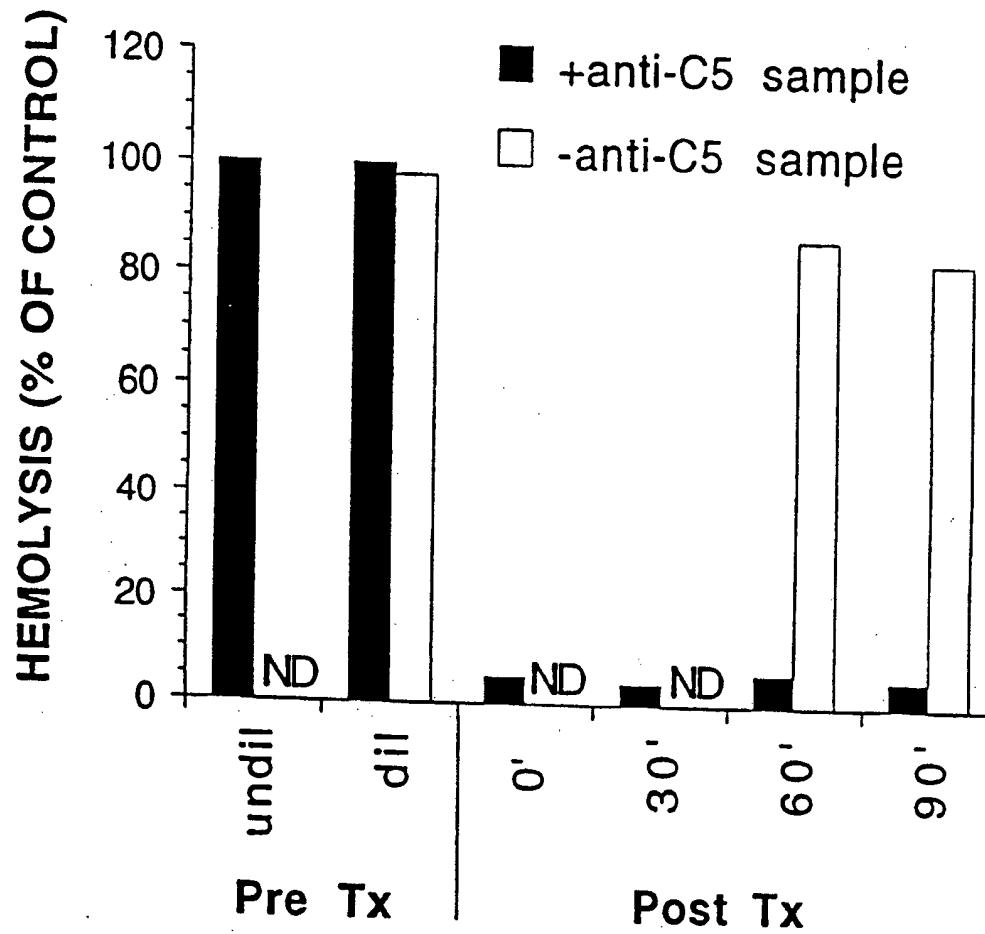


Fig. 1

2/4

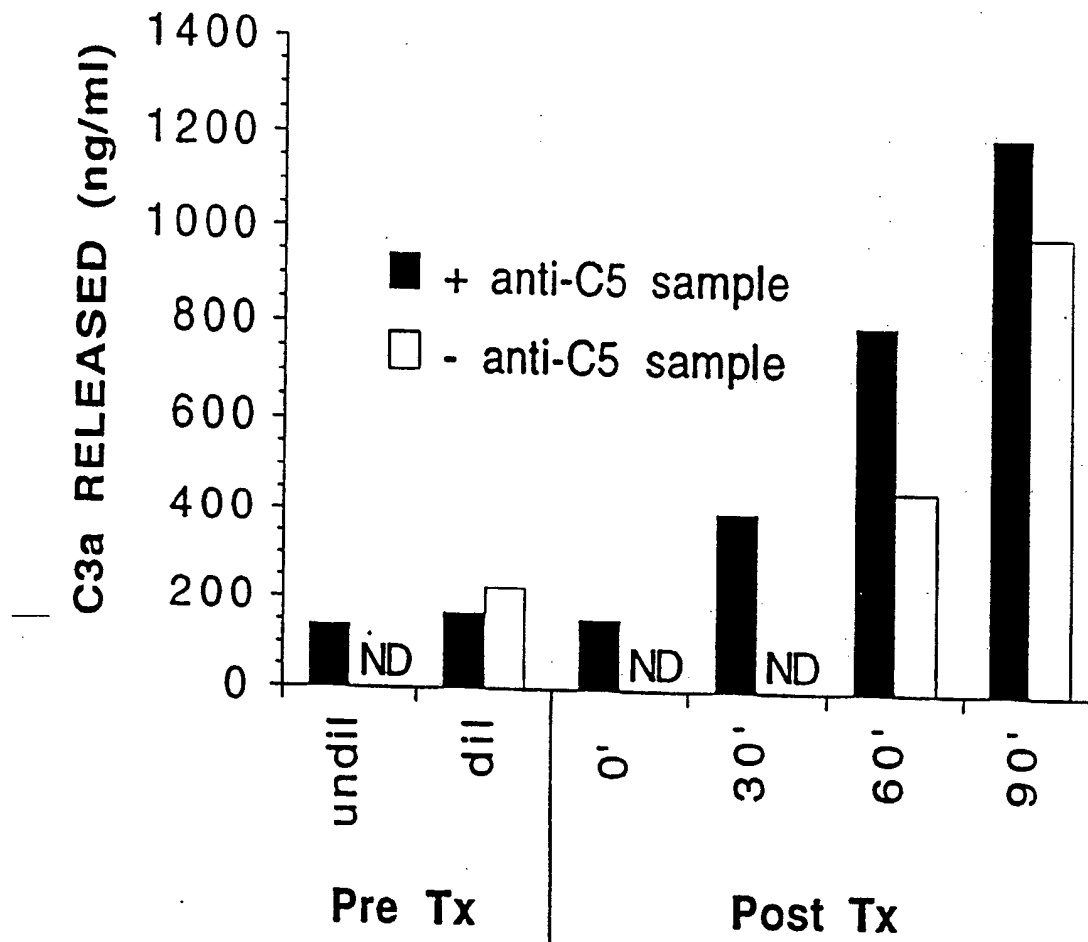


Fig. 2



3/4

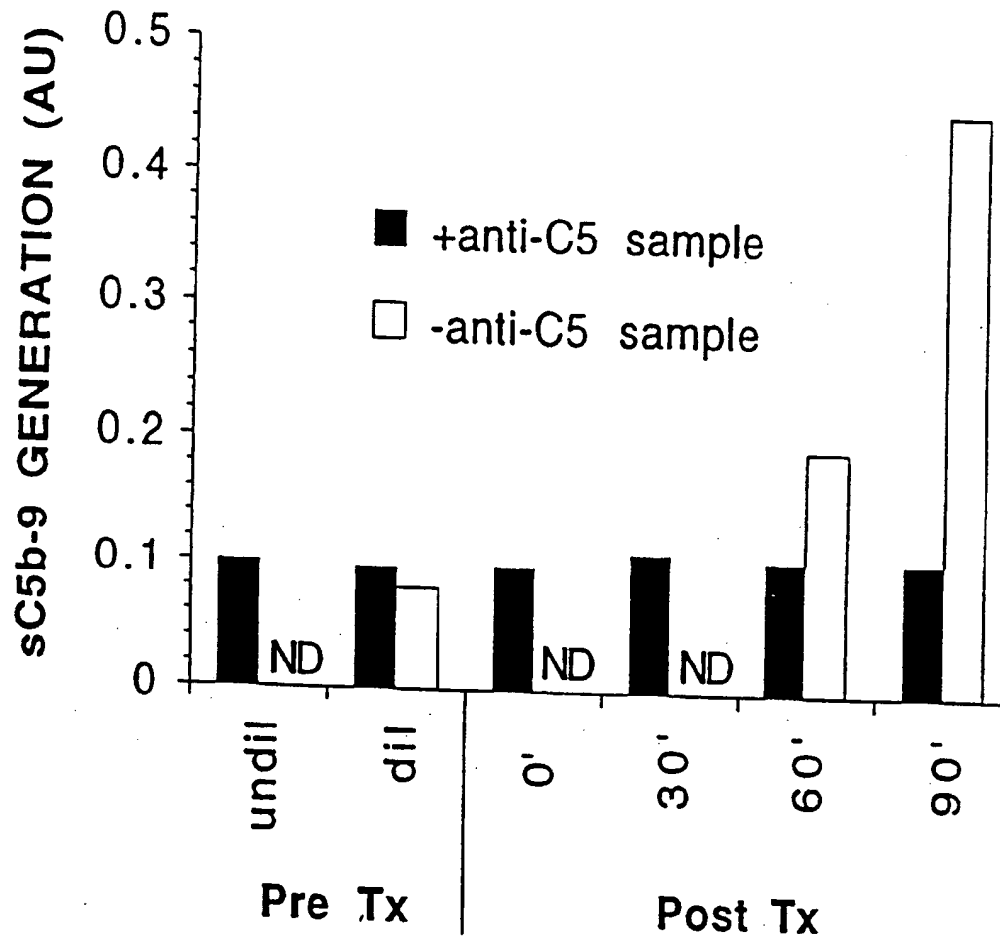


Fig. 3

4/4

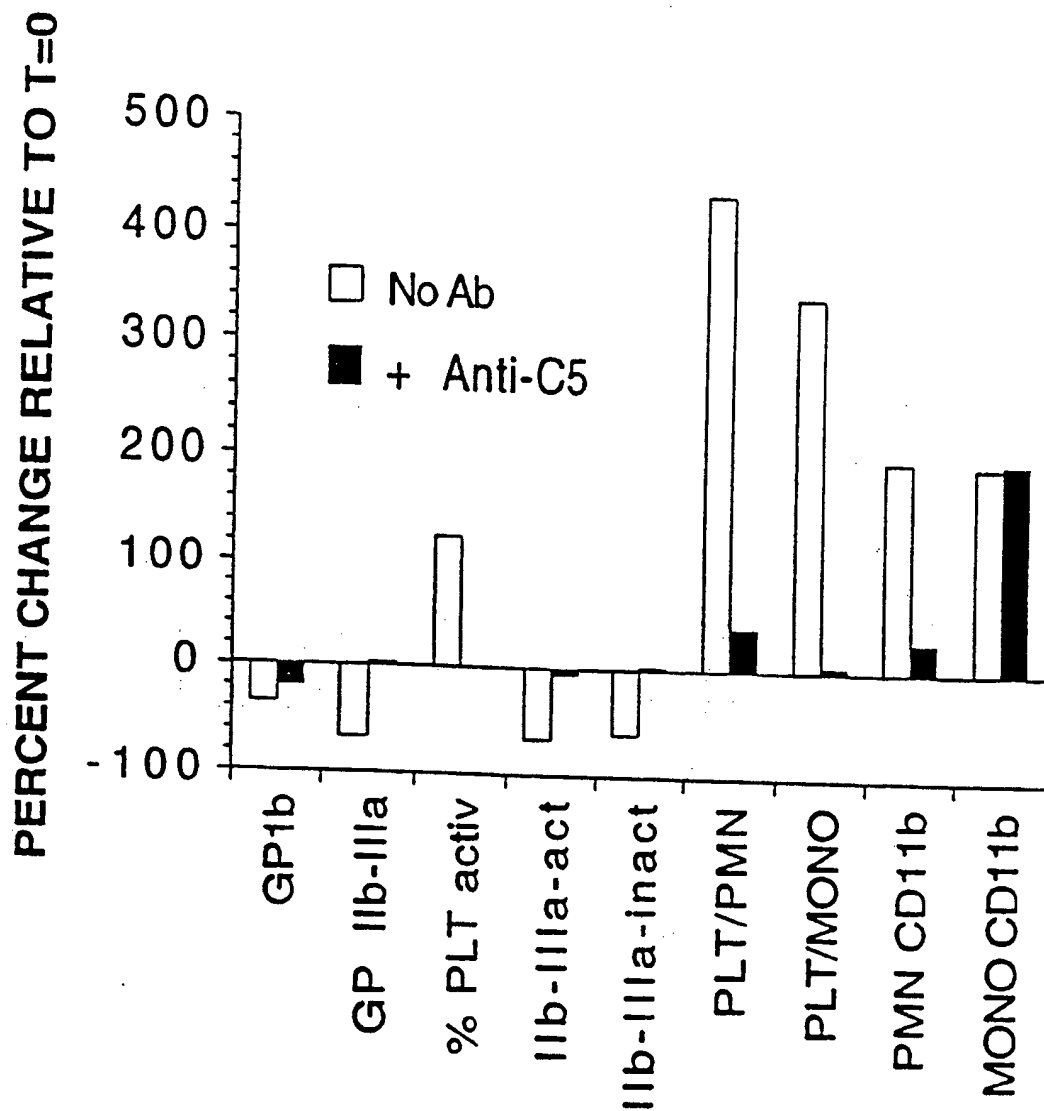


Fig. 4

# INTERNATIONAL SEARCH REPORT

In...national application No.  
PCT/US95/03614

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61K 39/00, 39/395; C07K 16/00 16/18

US CL :424/130.1, 140.1, 145.1; 530/387.1, 388.1, 388.25, 389.1

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/130.1, 140.1, 145.1; 530/387.1, 388.1, 388.25, 389.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, DIALOG, BIOSIS, EMBASE, MEDLINE, CA

search terms: complement, C5, C5a, C5b, extracorporeal, bypass

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A 5,135,916 (SIMS ET AL) 04 August 1992, see entire document.	1-8
Y	Complement. Inflamm., Volume 8, issued 1991, R. Wurzner et al., "Inhibition of Terminal Complement Complex Formation and Cell Lysis by Monoclonal Antibodies", pages 328-340, see entire document.	1-8

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

Special categories of cited documents:	
*A* document defining the general state of the art which is not considered to be of particular relevance	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*E* earlier document published on or after the international filing date	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	*Z* document member of the same patent family

Date of the actual completion of the international search

08 MAY 1995

Date of mailing of the international search report

25 MAY 1995

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer *Phillip Gambel*  
PHILLIP GAMBEL

Telephone No. (703) 308-0196

Form PCT/ISA/210 (second sheet)(July 1992)\*